01/31/01   JC97...

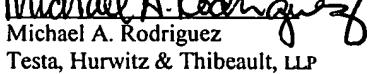|	
Attorney Docket No.	AWR-048
First Named Inventor	Gut et al.
Title	Cache System and Method for Generating Uncached Objects from Cached and Stored Object Components

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

ADDRESS TO: Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

ACCOMPANYING APPLICATION PARTS

1. ☒ Fee Transmittal Form

2. ☐ Small Entity Status
   ☐ Applicant claims small entity status
   ☐ Status established in prior application and is still proper and desired

3. ☒ Specification and Drawings   [Total Pages 44]
   - Written Description - (28 pages)
   - Claims - (4 pages)
   - Abstract - (1 page)
   - Sheets of Drawings - (11 sheets)
      ☒ Formal
      ☐ Informal

4. ☒ Oath or Declaration   [Total Pages 3]
   a. ☒ Newly executed (original)
   b. ☐ Copy from a prior application (37 CFR 1.63(d))
      (for continuation/divisional with Box 17 completed)
      [Note Box 4 below]

5. ☐ Incorporation by Reference (usable if Box 3b is checked)
   The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☒ Application Data Sheet

7. ☐ Nucleotide and/or Amino Acid Sequence Submission
   ☐ Computer Readable Copy
   ☐ Paper Copy (identical to computer copy)
   ☐ CD (identical to computer copy)
   ☐ Statement verifying identity of above copies

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
   ☐ Power of Attorney

9. ☐ English Translation Document *(if applicable)*

10. ☐ Information Disclosure Statement (IDS)/PTO-1449
    ☐ Copies of IDS Citations

11. ☐ Preliminary Amendment
    ☐ Drawings [Total Sheets   ]
    ☐ Letter to Official Draftsperson Including Drawings [Total Pages   ]

12. ☒ Return Receipt Postcard

13. ☐ Certified Copy of Priority Document(s)

14. ☐ Deletion of Inventor(s)
    Signed statement attached deleting inventor(s) named in the prior application.

15. ☐ CD in duplicate for large table or computer program.

16. ☐ Other:

17. ☐ If a CONTINUING APPLICATION, amend the specification by inserting on page 1, before the first line, the sentence:
--This is a
   ☐ continuation   ☐ divisional   ☐ continuation-in-part of prior application Serial No.   /   , filed on , , the entire disclosure of which is incorporated by reference herein.--
   Priority to the above application(s) is claimed under 35 U.S.C. 120.
   Prior application information: Examiner: _____. Group/Art Unit: _____.

18. ☒ **Priority - 35 U.S.C. 119**
    ☒ Priority of application Serial No. 60/225,412 filed on August 15, 2000 in <u>the United States</u> is claimed under 35 U.S.C. 119.
    ☐ The certified copy has been filed in prior U.S. application Serial No. _____/_____ on _____.
    ☐ The certified copy will follow.

CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Kevin Russell Aware, Inc. 40 Middlesex Turnpike Bedford, MA 01730 Tel. No.: (781) 276-4000 Fax No.: (781) 276-4001	Respectfully submitted, *Michael A. Rodriguez* Date: January 31, 2001 Michael A. Rodriguez Reg. No. 41,274 Testa, Hurwitz & Thibeault, LLP Tel. No.: (617) 248-7501 High Street Tower Fax No.: (617) 248-7100 125 High Street Boston, MA 02110

FEE TRANSMITTAL
FY 2001

Compl... known	
Application Serial Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Gut et al.
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	AWR-048 (457/51)

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
   ☒ Check ☐ Money Order ☐ Other

2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
   - ☐ Required Fees (copy of this sheet enclosed).
   - ☒ Additional fee required under 37 CFR 1.16 and 1.17.
   - ☒ Overpayment Credit.

3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee ($)	Fee Description	Fee Paid
710	Utility filing fee	710
320	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	37	- 20 = 17	x $ 18.00 =	306.00
Independent Claims	4	- 3 = 1	x $ 80.00 =	80.00
☐ Multiple Dependent Claim(s), if any			$270.00 =	0.00

TOTAL:	1096.00
SMALL ENTITY DISCOUNT:	0.00
SUBTOTAL (1) ($)	1096.00

2. AMENDMENT CLAIM FEES

	Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=		x $ 18.00 =	
Indep.	-	=		x $ 80.00 =	
☐ First Presentation of Multiple Dep. Claim				+ $270.00 =	

TOTAL:	($) 0
SMALL ENTITY DISCOUNT:	($)
SUBTOTAL (2)	($)0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee ($)	Small Entity Fee ($)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (Specify)			
Other fee (Specify)			

SUBTOTAL (3) ($)	0

SUBTOTAL (1)	1096.00
SUBTOTAL (2)	0
SUBTOTAL (3)	0
TOTAL ($)	1096.00

CORRESPONDENCE ADDRESS

Direct all correspondence to:
Kevin Russell
Aware, Inc.
40 Middlesex Turnpike
Bedford, MA 01730
Tel. No.: (781) 276-4000
Fax No.: (781) 276-4001

SIGNATURE BLOCK

Date: January 31 2001
Reg. No.: 41,274
Tel. No.: (617) 248-7501
Fax No.: (617) 248-7100

Respectfully submitted,
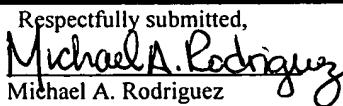
Michael A. Rodriguez
Testa, Hurwitz & Thibeault, LLP
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Boston, MA 02110

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